



# Towards a Framework for Extractive Industries Governance Assessment

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# Tony Benn's 5 questions

- What power have you got?
- Where did you get it from?
- In whose interests do you use it?
- To whom are you accountable?
- How do I get rid of you?

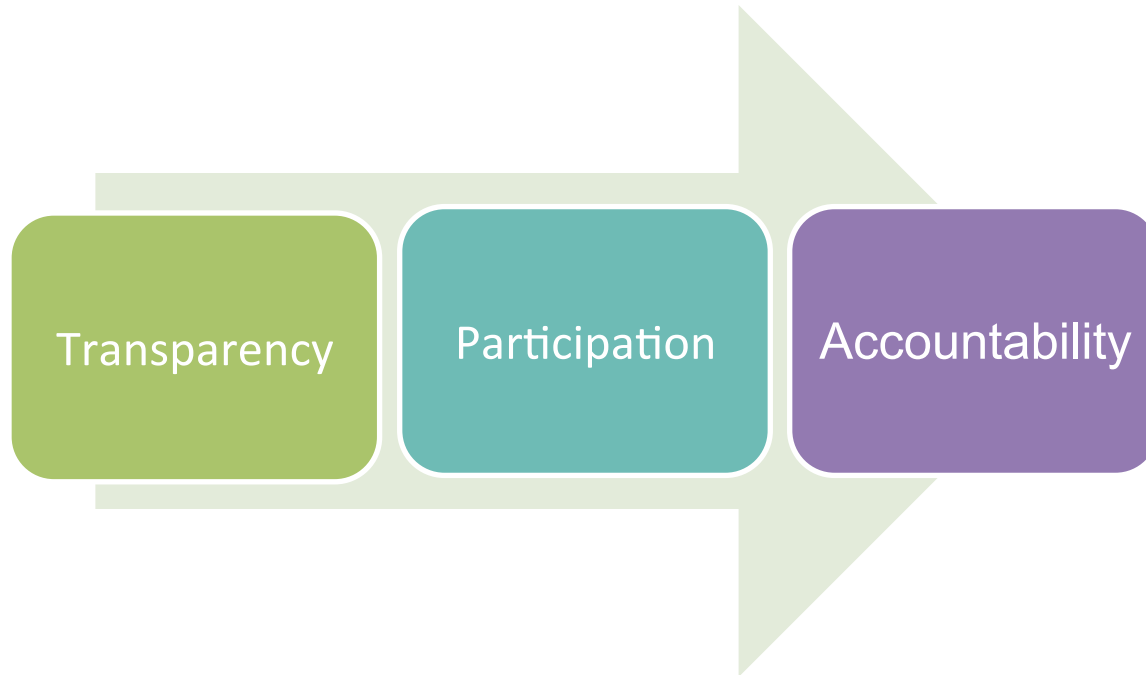
‘Governance is the exercise of public authority with regard to society through the agencies of government—executive, legislature, judiciary—in the context of the institutional and policy framework in place. It is about the processes by which **bargains between state and society** are made, including policies and institutions, and how they are subsequently implemented and monitored by organizations.’ Rents to Riches, World Bank, 2012

A hierarchy of questions that will start to provide a **structured analysis of governance strengths and weaknesses**, and start to provide a guide the **formulation of prioritised action plans** to improve governance.

*“Who are you looking at?”*

What about the governance of private companies and civil society? And development agencies?









# Capability



# Inclusiveness





- Policy, legislation, regulation



- Sector organisation and institutions



- Award of contracts and licences



- Regulation and monitoring of operations



- Fiscal policy design and implementation



- Revenue management and allocation



- Sustainable development

	Accountability	Capability	Inclusiveness
Policy, legislation, regulation	Are laws made consultatively? Do laws require consultation?	Is government at various levels equipped to consult? Are CSOs and private sector equipped?	Does wide consultation actually happen?
Sector Organisations and Institutions	Are there rules and standards for transparency and ethical behaviour	Is there a clear division of labour and separation of executive and regulatory roles?	Are there directions on promoting regional, gender, ethnic, religious, and sexual equality?

	Accountability	Capability	Inclusiveness
<p>Award of contracts and licences. Regulation and monitoring of operations.</p>	<p>Are there clear and transparent criteria for award?</p>	<p>Are clear and transparent criteria applied?</p>	<p>Are processes open to all interested companies, public and private, domestic and foreign?</p>
<p>Fiscal policy design and administration</p>	<p>Are 'grand' policy issues discussed: investment vs consumption? management of volatility? Is suitable budget information provided?</p>	<p>Are there the skills in the executive and legislature to deliver a transparent, accessible, comprehensive fiscal policy?</p>	<p>Are there arrangements for resource sharing resources between tiers of government, between regions, between generations?</p>

